

Products from the University of Minnesota Rebalancing Research Project ¹

Final Report

Research on State Management Practices for the Rebalancing of State Long-Term Care Systems: Final Report. Rosalie A. Kane, Robert L. Kane Reinhard Priester, and Patricia Homyak. August, 2008.

http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/final_report.pdf.

Case Studies

Baseline State Case Studies

Executive Summary for Baseline Case Studies

Kane, Rosalie A, Priester, Reinhard, Kane, Robert L, and Mollica, Robert L. *Management Approaches to Rebalancing Long-Term Care Systems: Experience in Eight States up to July 31, 2005, Executive Summary.* May 26, 2006.

http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/Executive_Summary_Baseline.pdf

Separate Baseline State Reports (each covering a period up to July 2005)

- Rebalancing Long-Term Care Systems in Arkansas: Abbreviated Report.
http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Arkansas_abbreviated_baseline_case_study.pdf
- Rebalancing Long-Term Care in Arkansas: Long Baseline Report.
http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Arkansas_long_baseline_case_study.pdf.
- Rebalancing Long-Term Care Systems in Florida: Abbreviated Report.
http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Florida_abbreviated_baseline_case_study.pdf.
- Rebalancing Long-Term Care Systems in Minnesota: Abbreviated Report.
http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Minnesota_abbreviated_baseline_case_study.pdf.

¹ The Rebalancing Research was performed by the University of Minnesota Division of Health Policy and Management as a subcontract to the CNAC Corporation, under a Task Order from the Centers for Medicare and Medicaid Services (CMS) to CNAC. Rosalie A. Kane was the Principal Investigator for Minnesota and, during the period of the preparation of these case studies, Linda Clark-Helmes was the CNAC project manager. Site visitors to the various states included Rosalie A. Kane, Robert L. Kane, and Donna Spencer (all from University of Minnesota), Robert L Mollica (National Academy for State Health Policy), Charlene Harrington and Martin Kitchener (UC San Francisco), Dann Milne (consultant from Colorado), Charles Reed (consultant from the State of Washington), and Linda Clark-Helmes (CNAC). Quantitative analyses for the baseline and 2006 Update were performed under the leadership of Robert L. Kane, Donna Spencer, and Terry Lum, data analyst from the University of Minnesota School of Social Work. The conclusions in these reports are those of the research team members and do not necessarily reflect the opinions of CMS or its staff members or the staff at the 8 participating states. The CMS project officer receiving these reports was initially Mary Beth Ribar, then Dina Elani, and finally Kathryn King, all with the CMS Division of Advocacy and Special Initiatives.

- Rebalancing Long-Term Care Systems in Minnesota: Long Baseline Report.
http://www.hpm.umn.edu/lcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Minnesota_long_baseline_case_study.pdf
- Rebalancing Long-Term Care Systems in New Mexico: Abbreviated Report.
http://www.hpm.umn.edu/lcresourcecenter/research/rebalancing/attachments/baseline_case_studies/New_Mexico_abbreviated_baseline_case_study.pdf
- Rebalancing Long-Term Care Systems in New Mexico: Long Baseline Report.
http://www.hpm.umn.edu/lcresourcecenter/research/rebalancing/attachments/baseline_case_studies/New_Mexico_long_baseline_case_study.pdf.
- Rebalancing Long-Term Care Systems in Pennsylvania: Abbreviated Report.
http://www.hpm.umn.edu/lcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Pennsylvania_abbreviated_baseline_case_study.pdf.
- Rebalancing Long-Term Care Systems in Pennsylvania: Long Baseline Report.
http://www.hpm.umn.edu/lcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Pennsylvania_long_baseline_case_study.pdf.
- Rebalancing Long-Term Care Systems in Texas: Abbreviated Report.
http://www.hpm.umn.edu/lcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Texas_abbreviated_baseline_case_study.pdf.
- Rebalancing Long-Term Care Systems in Texas: Long Baseline Report.
http://www.hpm.umn.edu/lcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Texas_long_baseline_case_study.pdf.
- Rebalancing Long-Term Care Systems in Vermont: Abbreviated Report.
http://www.hpm.umn.edu/lcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Vermont_abbreviated_baseline_case_study.pdf.
- Rebalancing Long-Term Care Systems in Vermont: Long Baseline Report.
http://www.hpm.umn.edu/lcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Vermont_long_baseline_case_study.pdf.
- Rebalancing Long-Term Care Systems in Washington: Abbreviated Report.
http://www.hpm.umn.edu/lcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Washington_abbreviated_baseline_case_study.pdf.
- Rebalancing Long-Term Care Systems in Washington: Long Baseline Report.
http://www.hpm.umn.edu/lcresourcecenter/research/rebalancing/attachments/baseline_case_studies/Washington_long_baseline_case_study.pdf.

Update Case Study Report

8 State Update (covering a period from July 2005 to July, 2006)

Kane, Rosalie A, Priester, Reinhard, Kane, Robert L, and Spencer, Donna. *A Year in State Management Practices for Rebalancing Long-Term Care Systems: Update of Activities in 8 States, July 2005 to July, 2006*, (Submitted April 2, 2007).

http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/State_Case_Study_Updates_as_of_December_2006.pdf

Final State Case Studies

Separate Final Case Studies (covering period up through December 2007)

- Rebalancing Long-Term Care Systems in Arkansas.
http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/2007_case_studies/Arkansas_final_case_study_as_of_December_2007.pdf.
- Rebalancing Long-Term Care Systems in Florida.
http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/2007_case_studies/Florida_final_case_study_as_of_December_2007.pdf.
- Rebalancing Long-Term Care Systems in Minnesota.
http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/2007_case_studies/Minnesota_final_case_study_as_of_December_2007.pdf.
- Rebalancing Long-Term Care Systems in New Mexico.
http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/2007_case_studies/New_Mexico_final_case_study_as_of_December_2007.pdf.
- Rebalancing Long-Term Care Systems in Pennsylvania.
http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/2007_case_studies/Pennsylvania_final_case_study_as_of_December_2007.pdf.
- Rebalancing Long-Term Care Systems in Texas.
http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/2007_case_studies/Texas_final_case_study_as_of_December_2007.pdf.
- Rebalancing Long-Term Care Systems in Vermont.
http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/2007_case_studies/Vermont_final_case_study_as_of_December_2007.pdf.
- Rebalancing Long-Term Care Systems in Washington.
http://www.hpm.umn.edu/lrcresourcecenter/research/rebalancing/attachments/2007_case_studies/Washington_final_case_study_as_of_December_2007.pdf.

Topics in Rebalancing State Long-Term Care Systems

(Cross-Cutting Topic Papers)

Topic Paper Number 1. Reinhard Priester, Amy Hewitt, & Rosalie Kane. *State Strategies to Build and Sustain Consumer Advocacy*. (Submitted to the Division of Advocacy and Special Programs, Centers for Medicare & Medicaid Services, CMS Project Officer, Dina Elani), September, 2006.

http://www.hpm.umn.edu/ltrresourcecenter/research/rebalancing/attachments/topicpapers/Topic_1_Building_and_Sustaining_Consumer_Advocacy.pdf.

Topic Paper Number 2. Rosalie Kane, Robert Kane, Martin Kitchener, Reinhard Priester, & Charlene Harrington. *State Long-Term Care Systems: Organizing for Rebalancing Topics in Rebalancing State Long-Term Care Systems*. (Submitted to the Division of Advocacy and Special Programs, Centers for Medicare & Medicaid Services, CMS Project Officer, Dina Elani), December 2006.

http://www.hpm.umn.edu/ltrresourcecenter/research/rebalancing/attachments/topicpapers/Topic_2_State_Organizational_Structure_for_Rebalancing.pdf.

Topic Paper Number 3. Robert Kane, Reinhard Priester, Rosalie Kane, & Dann Milne. *Managed Long-Term Care and the Rebalancing of State Long Term Support Systems*. (Submitted to the Division of Advocacy and Special Programs, Centers for Medicare & Medicaid Services, CMS Project Officer, Kate King). December 2007.

http://www.hpm.umn.edu/ltrresourcecenter/research/rebalancing/attachments/topicpapers/Topic_3_Implications_of_Managed_Long_Term_Care_for_Rebalancing.pdf.

Topic Paper Number 4. Rosalie Kane, Reinhard Priester, & Robert Kane. *State Long Term Support Systems to Promote Informed Consumer Decisions: Information Provision, Decisions Tools, and Options Counseling*. (Submitted to the Division of Advocacy and Special Programs, Centers for Medicare & Medicaid Services, CMS Project Officer, Kathryn King). December 2007.

http://www.hpm.umn.edu/ltrresourcecenter/research/rebalancing/attachments/topicpapers/Topic_4_State_Strategies_to_Promote_Consumer_Decisions.pdf.

Topic Paper Number 5. Rosalie Kane, Reinhard Priester, & Robert Kane. *The Future of the Nursing Home in a Rebalanced Long-Term Supportive Services (LTSS) System*. (Submitted to the Division of Advocacy and Special Programs, Centers for Medicare & Medicaid Services, CMS Project Officer Kathryn King).

http://www.hpm.umn.edu/ltrresourcecenter/research/rebalancing/attachments/topicpapers/Topic_5_Future_of_the_Nursing_Home_in_a_Rebalanced_System.pdf.

Topic Paper Number 6. Community-Based Residential Care Settings as Rebalancing Vehicles: State Strategies to Make Them More like Home than like Institutions (Submitted to the Division of Advocacy and Special Programs, Centers for Medicare & Medicaid Services, CMS Project Officer, Kathryn King)

http://www.hpm.umn.edu/ltrresourcecenter/research/rebalancing/attachments/topicpapers/Topic_6_Community_Group_Residential_Settings_as_Rebalancing_Vehicles.pdf.

Quantitative Chart Books

Chartbook Number 1. Kane, Robert L, Homyak, Patricia, Spencer, Donna, Parashuram, Shriram, Lee, Jin, & Woodhouse, W. Mark. *Analysis of Medicaid Expenditures for Long-Term Care Participants in HCBS Services and in Institutions in 2001* (submitted to the Division of Advocacy and Special Programs Centers for Medicare and Medicaid Services CMS Project Officer, Kathryn King, January 29, 2008).
http://www.hpm.umn.edu/ltcresourcecenter/research/rebalancing/attachments/chartbooks/Chartbook_1_Medicaid_LTC_Expenditures_2001.pdf

Chartbook Number 2. Kane, Robert L, Homyak, Patricia, Spencer, Donna, Parashuram, Shriram, Lee, Jin, & Woodhouse, W. Mark. *Analysis of Medicaid Expenditures for Long-Term Care Participants in HCBS Services and in Institutions in 2002* (revised version submitted to the Division of Advocacy and Special Programs Centers for Medicare and Medicaid Services CMS Project Officer, Kathryn King, May 2008).
http://www.hpm.umn.edu/ltcresourcecenter/research/rebalancing/attachments/chartbooks/Chartbook_2_Medicaid_LTC_Expenditures_2002.pdf.

Chartbook Number 3. Homyak, P., Kane, Robert, Patricia, Parashuram, Shriram, Lee, Jin, & Woodhouse, W. Mark. *Chartbook Number 3. Analysis of Changes in Medicaid Expenditures from 2001 to 2003 for Long-Term Care Participants in HCBS and in Institutional Settings.* (Revised version submitted to the Division of Advocacy and Special Programs Centers for Medicare and Medicaid Services CMS Project Officer, Kathryn King, March 26, 2008).
http://www.hpm.umn.edu/ltcresourcecenter/research/rebalancing/attachments/chartbooks/Chartbook_3_Changes_in_Medicaid_LTC_Expenditures_2001_2003.pdf.

Chartbook Number 4. Kane, Robert L, Homyak, Patricia, Parashuram, Shriram, Lee, Jin, & Woodhouse, W. Mark. *Analysis of Expenditures for Dually Eligible Participants in HCBS and Institutional Settings Using Both Medicaid and Medicare Data.* (Revised version submitted to the Division of Advocacy and Special Programs Centers for Medicare and Medicaid Services CMS Project Officer, Kathryn King, June, 2008).
http://www.hpm.umn.edu/ltcresourcecenter/research/rebalancing/attachments/chartbooks/Chartbook_4_Medicaid_Medicare_Expenditures_Dually_Eligible_LTC_Consumers.pdf.

Chartbook Number 5. Kane, Robert L, Homyak, Patricia, Parashuram, Shriram, Lee, Jin, & Woodhouse, W. Mark. *Analysis of the Effect of Case Mix Adjustment on Medicaid Expenditure Data for 2002.* (Revised Report submitted to the Division of Advocacy and Special Programs Centers for Medicare and Medicaid Services CMS Project Officer, Kathryn King, May 2008).
http://www.hpm.umn.edu/ltcresourcecenter/research/rebalancing/attachments/chartbooks/Chartbook_5_Case_Mix_Adjustment_of_Medicaid_Expenditure_Data.pdf

Chartbook Number 6. Kane, Robert L, Homyak, Patricia, Spencer, Donna, Parashuram, Shriram, Lee, Jin, & Woodhouse, W. Mark. *Assessment Data on HCBS Recipients and Nursing Home Residents in 3 States.* (Submitted to the Division of Advocacy and Special Programs Centers for Medicare and Medicaid Services, CMS Project Officer, Kathryn King, March 8, 2008).
http://www.hpm.umn.edu/ltcresourcecenter/research/rebalancing/attachments/chartbooks/Chartbook_6_Case_Mix_Patterns_LTC_Consumers_3_States.pdf