Section 300.210 Filing an Annual Attested Financial Statement

e) The information required to be submitted in the financial statement will include at a minimum the following:

3) Cost information by level of care, including:

B) Health care costs; such as medical director, nursing, medications, oxygen, activities, medical records, other medical services, social services, and utilization reviews.

(Source: Amended at 13 Ill. Reg. 4684, effective March 24, 1989)

Section 300.270 Monitor and Receivership

b) The monitor shall meet the following minimum requirements:

2) have an understanding of the needs of long-term care facility residents as evidenced by one year of experience in working, as appropriate, with elderly or developmentally disabled individuals in programs such as patient care, social work, or advocacy;

f) Through consultation with the long-term care industry associations, professional organizations, consumer groups and health-care management corporations, the Department shall maintain a list of receivers. Preference on the list shall be given to individuals possessing a valid Illinois Nursing Home Administrator's License, experience in financial and operations management of a long-term care facility and individuals with access to consultative experts with the aforementioned experience. To be placed on the list, individuals must meet the following minimum requirements:

2) have an understanding of the needs of long-term care facility residents and the delivery of the highest possible quality of care as evidenced by one year of experience in working with elderly or developmentally disabled individuals in programs such as patient care, social work, or advocacy;

Section 300.330 Definitions

The terms defined in this Section are terms that are used in one or more of the sets of licensing standards established by the Department to license various levels of long-term care. They are defined as follows:

Interdisciplinary Team – a group of persons that represents those professions, disciplines, or service areas that are relevant to identifying an individual's strengths and needs, and designs a program to meet those needs. This team shall include at least a physician, a social worker and other professionals. In Intermediate Care Facilities for the Developmentally Disabled (ICF/DD) at least one member of the team shall be a Qualified Mental Retardation Professional. The Interdisciplinary Team includes the resident, the resident's guardian, the resident's primary service providers, including staff most familiar with the resident; and other appropriate professionals and caregivers as determined by the resident's needs. The resident or his or her guardian may also invite other individuals to meet with the Interdisciplinary Team and participate in the process of identifying the resident's strengths and needs.

Qualified Mental Retardation Professional – a person who has at least one year of experience working directly with individuals with developmental disabilities and meets at least one of the following additional qualifications:

Be a physician as defined in this Section.
Be a registered nurse as defined in this Section.
Hold at least a bachelor's degree in one of the following fields: occupational therapy, physical therapy, psychology, social work, speech or language pathology, recreation (or a recreational specialty area such as art, dance, music, or physical education), dietary services or dietetics, or a human services field (such as sociology, special education, or rehabilitation counseling).

Social Worker — a person who is a licensed social worker or a licensed clinical social worker under the Clinical Social Work and Social Work Practice Act [225 ILCS 20].

Section 300.610 Resident Care Policies

c) These written policies shall include, at a minimum the following provisions:

2) Resident care services including physician services, emergency services, personal care and nursing services, restorative services, activity services, pharmaceutical services, dietary services, social services, clinical records, dental services, and diagnostic service (including laboratory and x-ray). (B)

(Source: Amended at 13 Ill. Reg. 4684, effective March 24, 1989)

Section 300.820 Categories of Personnel

e) The facility shall designate a staff member(s) to provide social services to residents. B)

Section 300.830 Consultation Services

b) If the staff member designated to provide social services is not a social worker, the facility shall have an effective arrangement with a social worker to provide social service consultation. Skilled nursing facilities must provide a social worker to meet this requirement.

(Source: Amended at 13 Ill. Reg. 4684, effective March 24, 1989)

Section 300.820 Categories of Personnel

e) The facility shall designate a staff member(s) to provide social services to residents. (B)

(Source: Amended at 13 Ill. Reg. 4684, effective March 24, 1989)

Section 300.1230 Staffing

n) Intermediate Nursing Care – Light

A Long-term care resident needing light intermediate care is one who needs personal care as defined in Section 1-120 of the Act; is mobile; requires some nursing services; needs a program of social services and activities directed toward independence in daily living skills; and needs daily monitoring. Each resident needing light intermediate care shall be provided with at least one hour of nursing/personal care each day, of which at least 20% must be licensed nurse time. (A, B)

(Source: Amended at 13 Ill. Reg. 4684, effective March 24, 1989)

Section 300.1410 Activity Program

c) Activity Director and Consultation

2) If the activity director is not a Certified Therapeutic Recreation Specialist (CTRS), Occupational Therapist Registered and Licensed (OTR/L), or a Licensed Social Worker (LSW) or Licensed Clinical Social Worker (LCSW) who has specialized course work in social group work, the facility shall have a written agreement with a person from one of those disciplines to provide consultation to the activity director and/or activity department at least monthly, to ensure that the activity programming meets the needs of the residents of the facility.

Section 300.1820 Content of Medical Records

c) In addition to the information that is specified above, each resident's medical record shall have:

4) An ongoing record of notations describing significant observations or developments regarding each resident's condition and response to treatments and programs.

B) Significant observations or developments regarding resident responses to activity programs, social services, dietary services and work programs shall be recorded as they are noted. If no
significant observations or developments are noted for three months, an entry shall be made in
the record of that fact.
(Source: Amended at 13 Ill. Reg. 4684, effective March 24, 1989)
Section 300.3710 Day Care in Long-Term Care Facilities
b) In addition, the following criteria must also be met:
5) Permission for a Day Care Program requires identifying the services of the facility that will be
used in the program. Examples: Activity area, dining area, administering of medications by
nursing staff, physical therapy, speech, social services.
(Source: Amended at 16 Ill. Reg. 17089, effective November 3, 1992)
Section 300.2850 Administration and Public Areas
c) General or Individual Office shall have sufficient space to accommodate the following
functions: Administrative, Business/Financial Transactions, Professional Staff (Director of
Nursing, Food Service Supervisor, Activity Director, Social Service Director), and Professional
Consultants (Medical Director, Pharmacist, Dietitian, Social Worker).
Section 300.4010 Comprehensive Assessments for Residents with Serious Mental
Illness Residing in Facilities Subject to Subpart S
a) The facility shall establish an Interdisciplinary Team (IDT) for each resident. The IDT is a
group of persons that represents those professions, disciplines, or service areas that are relevant
to identifying an individual's strengths and needs, and that designs a program to meet those
needs. The IDT includes, at a minimum, the resident; the resident's guardian; a Psychiatric
Rehabilitation Services Coordinator (PRSC); the resident's primary service providers, including
an RN or an LPN with responsibility for the medical needs of the individual; a psychiatrist; a
social worker; an activity professional; and other appropriate professionals and care givers as
determined by the resident's needs. The resident or his or her guardian may also invite other
individuals to meet with the IDT and participate in the process of identifying the resident's
strengths and needs.
b) The IDT must identify the individual's needs by performing a comprehensive assessment as
needed to supplement any preliminary evaluation conducted prior to admission to the facility.
The assessment shall be coordinated by a PRSC.
c) A comprehensive assessment must be completed by the IDT no later than
14 days after admission to the facility. Reports from the pre-admission screening assessment or
assessments conducted to meet other requirements may be used as part of the comprehensive
assessment if the assessment reflects the current condition of the individual and was completed
no more than 90 days prior to admission. The assessment shall include at least the following:
1) A psychiatric evaluation completed by a board certified or board eligible psychiatrist or, if
countersigned by a board certified or board eligible psychiatrist, the evaluation may be
completed by a person who is a certified psychiatric nurse, a nurse with a Bachelor
of Science in Nursing (BSN) and two years of experience serving individuals with serious mental
illness, or a registered nurse with five years of experience serving individuals with serious
mental illness; a licensed clinical social worker; a physician; a licensed psychologist; or a
licensed clinical professional counselor (LCPC) under the Professional Counselor and Clinical
Professional Counselor Licensing Act [225 ILCS 107]. The psychiatric evaluation shall include:
A) Psychiatric history with present and previous psychiatric symptoms;
B) Comprehensive mental status examination, which includes: a statement of assets and deficits,
a description of intellectual functioning, memory functioning, orientation, affect,
suicidal/homicidal ideation, response to reality testing, and current attitudes and overt behaviors; and

C) Diagnostic formulation, problems, and diagnosis using the Diagnostic and Statistical Manual IV (DSM-IV), ensuring that information is recorded on as many of the five axes as appropriate.

2) Psychosocial assessment performed by the Psychiatric Rehabilitation Services Director (PRSD), a social worker, an occupational therapist, an LCPC, or the PRSC if reviewed and countersigned by the PRSD. The assessment shall cover the following points:
A) Identifying information (including resident's name, age, race, religion, date of admission; name of individuals giving information);
B) Reason for admission (including specific problems and how long the problems have existed in their current state; contributing factors to exacerbation of problems; most recent psychiatric treatment and effects; goals of nursing facility as articulated by referral source);
C) History of mental illness, treatment, and care (including age of onset; private and public hospital inpatient episodes; community mental health care; prior nursing facility placement; specific treatments and effects);
D) Personal history (including current marital status; marital history including name, occupation, and age of current and previous spouses; name, age, sex and occupation of children, if any; status of significant personal relationships with individuals (past and present); work history of individual including all known past professions and/or jobs);
E) Residential history (including, for the last two years, the types of housing (e.g., family, public housing, apartment, room, or community agency), relationship to other occupants, the total number of known moves; factors known to have contributed to past housing loss; the highest level of residential independence attained, approximate date and length; any patterns of persistent residential instability or homelessness);
F) Family history (including information regarding individual's parents and siblings; any significant family illnesses, especially psychiatric illnesses; history of traumatic or significant loss including where, when and effect on individual); and
G) Developmental history (including early life history, place of birth, where raised and by whom and with whom; school history; and history regarding friends, hobbies, interests, social activities and interactions).

3) A skills assessment performed by a social worker, occupational therapist, or PRSD or PRSC with training in skills assessment. The skills assessment shall include an evaluation of the resident's strengths, an assessment of the resident's levels of functioning, including but not limited to the following areas:
A) Self-maintenance (including basic activities of daily living such as hygiene, dressing, grooming, maintenance of personal space, care of belongings, diet and nutrition, and personal safety);
B) Social skills (including communication, peer group involvement, friendship, family interaction, male/female relationship, and conflict avoidance and resolution);
C) Community living skills (including use of telephone, transportation and community navigation, avoidance of common dangers, shopping, money management, homemaking (cleaning, laundry, meal preparation), and use of community resources);
D) Occupational skills (including basic academic skills; job seeking and retention skills; ability to initiate and schedule activities; promptness and regular attendance; ability to accept, understand and carry out instructions; ability to complete an application; and interview skills);
E) Symptom management skills (including symptom monitoring and coping strategies; stress identification and management; impulse control; medication management and self-medication capability; relapse prevention); and
F) Substance abuse management (including recovery, relapse prevention and harm reduction).

Section 300.4090 Personnel for Providing Services to Persons with Serious Mental Illness for Facilities Subject to Subpart S
b) Psychiatric Rehabilitation Services Director
1) A Psychiatric Rehabilitation Services Director (PRSD) shall be:
   A) A licensed, registered, or certified psychiatrist, psychologist, social worker, occupational therapist, rehabilitation counselor, psychiatric nurse or licensed professional counselor who has a minimum of at least one year supervisory experience and at least one year of experience working directly with persons with serious mental illness and who has attended an Illinois Department of Public Aid (IDPA) training program; or
   B) A person with a master's degree in a human services field with at least one year of supervisory experience and at least three years of experience working directly with persons with severe mental illness who has attended an IDPA training program.

Section 300.6010 Comprehensive Assessments for Residents of Facilities Subject to Section 300.7050 Staffing
c) All staff who ever work on the unit (e.g., nurses, CNAs, housekeepers, social services and activities staff, and food service staff) shall receive at least four hours of dementia-specific orientation within the first 7 days of working on the unit. This orientation shall include:
   1) Basic information about the nature, progression, and management of Alzheimer's disease and other dementia;
   2) Techniques for creating an environment that minimizes challenging behavior from residents with Alzheimer's disease and other dementia;
   3) Methods of identifying and minimizing safety risks to residents with Alzheimer's disease and other dementia; and
   4) Techniques for successful communication with individuals with Alzheimer's disease and other dementia.
e) Nurses, CNAs, and social services and activities staff who work on the unit at least 50 percent of the time that they work at the facility shall attend at least 12 hours of continuing education every year, specifically related to serving residents with Alzheimer's disease and other dementia. (Completion of the 12 hours of orientation in accordance with subsection (d) of this Section may be counted as continuing education for the year in which this orientation is completed.) Topics shall include, but not be limited to:
   1) Promoting the philosophy of an ability-centered care framework;
   2) Promoting resident dignity, independence, individuality, privacy and choice;
   3) Resident rights and principles of self-determination;
   4) Medical and social needs of residents with Alzheimer's disease and other dementia;
   5) Assessing resident capabilities and developing and implementing services plans;
   6) Planning and facilitating activities appropriate for a resident with Alzheimer's disease and other dementia;
   7) Communicating with families and others interested in the resident;
   8) Care of elderly persons with physical, cognitive, behavioral, and social disabilities;
   9) Common psychotropics and their side effects; and
   10) Local community resources.
(Source: Added at 28 Ill. Reg. 14623, effective October 20, 2004)