

Rules and Regulations for Nursing Homes

Office of Long Term Care

100	DEFINITIONS	6
200	GENERAL PROVISIONS FOR LICENSURE.....	10
201	LICENSURE.....	10
202	APPLICATION FOR LICENSE.....	10
203	RENEWAL OF APPLICATION FOR LICENSURE	10
204	ISSUANCE OF LICENSE	11
205	DENIAL, REVOCATION, OR SUSPENSION OF LICENSE.....	11
206	NOTICE AND PROCEDURE ON HEARING PRIOR TO DENIAL, SUSPENSION, OR REVOCATION OF LICENSE ...	11
207	APPEALS TO COURTS.....	11
208	PENALTIES	11
209	INSPECTION	12
210	COMPLIANCE.....	12
211	NONCOMPLIANCE	12
212	VOLUNTARY CLOSURE.....	12
213	EXCEPTION TO LICENSING STANDARDS.....	12
214-299	RESERVED.....	12
300	ADMINISTRATION	13
301	MANAGEMENT.....	13
302	GENERAL ADMINISTRATION.....	13
303	PERSONNEL ADMINISTRATION	14
304	STAFF DEVELOPMENT	15
305	EMERGENCY CALL DATA.....	16
306	REPORTING SUSPECTED ABUSE, NEGLECT, EXPLOITATION, INCIDENTS, ACCIDENTS, DEATHS FROM VIOLENCE AND MISAPPROPRIATION OF RESIDENT PROPERTY	16
307	INSTITUTIONAL POLICIES AND/OR PROCEDURES	31
308	PATIENT CARE POLICIES	31
309	RESTRAINT OF RESIDENTS.....	31
310	PROTECTION OF PATIENT PROPERTY	31
311	NOTIFICATION OF CHANGE IN PATIENT'S STATUS	31
312	PHYSICIAN'S SERVICES POLICIES.....	32
313	SPECIALIZED REHABILITATIVE SERVICE POLICIES	32
314	SOCIAL SERVICE POLICIES.....	32
315	CONFIDENTIALITY OF SOCIAL INFORMATION	32
316	RIGHTS OF RESIDENTS.....	32
317	REGISTRATION OF COMPLAINTS	32
318	ADMISSION, TRANSFER, AND DISCHARGE POLICIES	32
319	CONFIDENTIALITY OF MEDICAL RECORD INFORMATION	33
320	INFECTION CONTROL	33
321	HANDLING OF OXYGEN AND FLAMMABLE GASES.....	33
322	PERSONNEL POLICIES	33
323	TRANSPORTATION OF RESIDENTS.....	34
324	BEDPAN SANITATION.....	34
325	OUTSIDE RESOURCE AGREEMENTS	34
326	SPECIALIZED REHABILITATIVE SERVICES	34
327	ADVISORY DENTIST.....	34
328	SOCIAL SERVICES.....	34
329	ACTIVITY DIRECTOR	34
330	PHARMACIST.....	34
331	MEDICAL AND REMEDIAL SERVICES	34
332	TRANSFER AGREEMENT	35
333-399	RESERVED.....	35

400	PHYSICAL ENVIRONMENT	36
401	GENERAL STANDARDS FOR EXISTING STRUCTURES	36
402	GENERAL.....	36
403	FACILITY GROUNDS AND PARKING.....	36
404	DOORS	36
405	STANDARD PATIENT ROOMS, BATH, AND TOILET FACILITIES	36
406	INTENSIVE CARE ROOM.....	37
407	CORRIDORS.....	37
408	HANDRAILS	37
409	BEDPAN CLEANING AND STORAGE ROOM	37
410	DAY ROOM AND DINING ROOM	37
411	CEILINGS, WALLS AND FLOORS	37
412	HEATING AND COOLING.....	38
413	LIGHTING	39
414	EMERGENCY POWER.....	39
415	WATER SERVICE.....	39
416	NURSES' STATION.....	40
417	JANITORS' CLOSETS.....	41
418	NURSES' CALL SYSTEM.....	41
419	FIRE ALARM SYSTEM	41
420	PHYSICAL ENVIRONMENT	41
421	STANDARDS FOR NEW CONSTRUCTION AND/OR ALTERATIONS.....	41
422	GENERAL.....	41
423	SITE LOCATION, INSPECTION, APPROVALS AND SUBSOIL INVESTIGATION.....	41
424	SUBMISSION OF PLANS, SPECIFICATIONS, AND ESTIMATES.....	42
425	PLANS AND SPECIFICATIONS	42
426	CODES AND STANDARDS	46
427	STANDARD PATIENT ROOM AND TOILET DESIGN	46
428	INTENSIVE CARE ROOM DESIGN	47
429	CORRIDORS.....	47
430	LAUNDRY	47
431	STORAGE.....	47
432	DIETETIC SERVICE AREA (LESS DINING AREAS)	47
433	ADMINISTRATIVE OFFICES.....	48
434	RESIDENTS' DINING AND RECREATION AREAS	48
435	UTILITY ROOMS.....	48
436	BEDPAN ROOM.....	48
437	JANITORS' CLOSETS.....	48
438	LINEN CLOSETS	49
439	SOILED LINEN CLOSETS.....	49
440	NURSES' CALL SYSTEM.....	49
441	NURSES' STATION.....	49
442	FIRE ALARM SYSTEM	49
443	LIMITATIONS.....	49
444	CEILINGS, WALLS, AND FLOORS	50
445	WATER COOLER.....	50
446-449	RESERVED.....	50
450	FURNISHINGS, EQUIPMENT, AND SUPPLIES	50
451	FURNISHINGS	50
452	LINENS AND BEDDING	51
453	EQUIPMENT AND SUPPLIES	52
454	CARE AND CLEANING OF MEDICAL SUPPLIES AND EQUIPMENT.....	53
455	STORAGE	54
456-469	RESERVED.....	54
470	HOUSEKEEPING/MAINTENANCE	54
471	HOUSEKEEPING - MAINTENANCE	54
472-499	RESERVED.....	56
500	PATIENT CARE SERVICES.....	57
501	PHYSICIAN SERVICES.....	57
502	ADMISSION ONLY ON RECOMMENDATION OF A PHYSICIAN.....	57

503	CONTINUED SUPERVISION OF CARE	57
504	PHYSICAL EXAMINATION OF PATIENTS.....	57
505	PLANNED REGIMEN OF CARE.....	57
506	ESTABLISHMENT RESTORATION POTENTIAL.....	57
507	EMERGENCY PHYSICIAN.....	57
510	NURSING.....	57
511	PROFESSIONAL NURSE SUPERVISION.....	57
512	CHARGE NURSE	58
513	NURSING STAFF.....	59
514	PERSONNEL ASSIGNMENTS.....	59
515	RESTRICTIONS IN EMPLOYMENT AND/OR ASSIGNMENT.....	59
516	NURSING CARE REQUIREMENTS.....	59
517	TREATMENT AND MEDICATIONS.....	63
518	REHABILITATIVE NURSING.....	65
519	SUPERVISION OF PATIENT NUTRITION.....	65
520	MINIMUM DIRECT-CARE STAFFING REQUIREMENTS.....	65
521	TUBERCULOSIS SURVEILLANCE.....	76
530	SPECIALIZED REHABILITATIVE SERVICES.....	76
531	SERVICES BASED ON RESIDENT NEEDS.....	76
532	WRITTEN PLAN OF CARE.....	76
533	REVIEW OF RESIDENT PROGRESS.....	77
534	RE-EVALUATION OF PLAN.....	77
535	DOCUMENTATION OF SERVICES.....	77
540	PHARMACEUTICAL SERVICES.....	77
541	RESPONSIBILITY FOR PHARMACY COMPLIANCE.....	77
542	PHARMACY CONSULTANTS PERMIT.....	77
543	PRESCRIPTIONS ON INDIVIDUAL BASIS.....	78
544	ADMINISTRATION OF MEDICATION.....	78
545	EQUIPMENT FOR ADMINISTERING MEDICATIONS.....	79
546	MEDICINE CARDS.....	79
547	STOP ORDER POLICY.....	79
548	STORAGE OF DRUGS.....	79
549	EMERGENCY DRUG BOX.....	80
550	RECORD OF CONTROLLED DRUGS.....	81
551	CONTROLLED DRUG ACCOUNTABILITY.....	81
552	REVIEW OF MEDICATION BY THE NURSE AND/OR PHARMACIST.....	82
553	REVIEW OF MEDICATIONS BY CONSULTANT PHARMACISTS.....	82
554	CYCLE-FILL, PHARMACY NOTIFICATION AND DISPOSITION OF UNUSED DRUGS.....	82
555	PHARMACY PREPARED MEDICATION CONTAINER SYSTEMS DESIGNED FOR ADMINISTRATION WITH THE USE OF MEDICATION CARDS (UNIT DOSE SYSTEM).....	83
556-559	RESERVED.....	84
560	DIETETIC SERVICES.....	84
561	STAFFING.....	84
562	HYGIENE OF STAFF.....	84
563	MINIMUM DAILY FOOD REQUIREMENTS.....	85
564	FREQUENCY OF MEALS.....	86
565	MEAL SERVICE.....	86
566	MENUS.....	87
567	THERAPEUTIC DIETS.....	88
568	PREPARATION AND STORAGE OF FOOD.....	89
569	SANITARY CONDITIONS.....	90
570	DIETETIC SERVICES STAFFING.....	92
571-579	RESERVED.....	93
580	SOCIAL WORK SERVICES AND ACTIVITIES PROGRAMMING.....	93
581	POLICIES AND PROCEDURES.....	93
582	JOB DESCRIPTION.....	93
583	SOCIAL SERVICES RECORDS.....	93
584	STAFFING AND CONSULTATION FOR SOCIAL SERVICES/ACTIVITIES.....	94
585	PROGRAM OPERATIONS.....	95
586	PET THERAPY.....	96
587-599	RESERVED.....	96

600	RESIDENT RECORDS.....	97
601	RESIDENT RECORD MAINTENANCE	97
602	CONTENTS OF RECORDS (TO FACILITATE RETRIEVING AND COMPILING INFORMATION).....	97
603	INDEX	98
604	RETENTION AND PRESERVATION OF RECORDS	99
605	CONFIDENTIALITY.....	101
606	STAFFING	101
607	GENERAL INFORMATION	101
608-699	RESERVED.....	101
700-800	RESERVED	102
900	ALZHEIMER’S SPECIAL CARE UNITS DEFINITIONS	103
901	GENERAL ADMINISTRATION.....	104
902	TREATMENT PHILOSOPHY	109
903	ASSESSMENTS.....	109
904	ADMISSIONS, DISCHARGES, TRANSFERS	111
905	STAFFING	112
906	PHYSICAL ENVIRONMENT, DESIGN AND SAFETY.....	115
907	THERAPEUTIC ACTIVITIES.....	118
908	PENALTIES	119
1000	RECEIVERSHIP	120
1001	DEFINITIONS.....	120
1002	PURPOSE	120
1003	APPOINTMENT AND SUPERVISION OF A MONITOR(S):.....	121
1004	DETERMINATION OF NEED FOR RECEIVERSHIP	122
1005	PETITION FOR NOTICE OF RECEIVERSHIP	123
1006	POST PETITION ACTIVITIES	124
1007	ASSISTANCE WITH DUTIES OF THE RECEIVER TO STAFF	125
1008	ASSISTANCE WITH RESPONSIBILITIES OF RECEIVER TO RESIDENTS, GUARDIANS AND FAMILIES.....	126
1009	LONG RANGE RESPONSIBILITIES OF RECEIVER	126
1010	REPORTING OF PROGRESS OF RECEIVER	130
1011	QUALIFICATIONS AND MAINTENANCE OF LIST FOR RECEIVER	133
1012	DEPARTMENT TO FURNISH RECEIVER WITH COPY OF LEGAL PROCEEDING.....	133
1013	MANDATED PATIENT TRANSFER	134
1014-1999	RESERVED.....	134
2000	INFORMAL DISPUTE RESOLUTION.....	135
2001	REQUESTING AN INFORMAL DISPUTE RESOLUTION.....	135
2002	MATTERS WHICH MAY BE HEARD AT IDR.....	135
2003	APPEALS	135
2004-2999	RESERVED.....	136
3000	RESIDENTS' RIGHTS	137
SYNOPSIS OF RESIDENTS' BILL OF RIGHTS		144
4000	FINES AND SANCTIONS.....	148
4001	DEFINITIONS	148
4002	CIVIL PENALTIES	150
4003	FACTORS IN ASSESSMENT OF CIVIL PENALTIES	151
4004	RIGHT TO ASSESS CIVIL PENALTIES NOT MERGED IN OTHER REMEDIES	152
4005	CLASS A VIOLATIONS.....	152
4006	CLASS B VIOLATIONS	155
4007	CLASS C VIOLATIONS	159
4008	CLASS D VIOLATIONS.....	160
4009	NOTIFICATION OF VIOLATIONS	160
4010	HEARINGS ON THE IMPOSITION OF CIVIL MONEY PENALTIES	161
4011	DENIAL OF ADMISSIONS	162

APPENDIX A 163
RULES OF ORDER FOR ALL APPEALS BEFORE THE LONG TERM CARE FACILITY ADVISORY BOARD 163